



GRAY REED



# GOVERNMENT INVESTIGATIONS & COMPLIANCE

Businesses and individuals across the U.S. and abroad in a wide variety of heavily regulated industries rely on Gray Reed's team of experienced attorneys to represent them in all types of government inquiries, including both civil and criminal enforcement proceedings, federal and state administrative actions, and regulatory investigations. Our attorneys also conduct internal company investigations of potential misconduct and design effective and forward-thinking compliance programs to prevent or mitigate the impact of government investigations.

Our clients come from many strictly regulated industries, from healthcare, energy, financial services and real estate to technology, government contractors, fintech, construction, manufacturing and retail. They trust our team to provide strategic counsel and resolve matters covering a wide range of areas, including:

- Healthcare fraud
- Securities offering fraud
- Insider trading
- Tax evasion
- Banking fraud
- Whistle-blower claims
- Government contracting
- FCPA violations
- False Claims Act violations
- Ponzi schemes
- Pay-to-play schemes
- Accounting fraud
- Money laundering
- Digital currency

Our team defends clients in criminal proceedings and civil enforcement actions brought by various federal and state agencies, as well as self-regulatory organizations (such as FINRA or the PCAOB). We have extensive experience serving as lead counsel in high-stakes cases before courts throughout the U.S. and collaborating with our network of foreign litigators when clients are involved in complex disputes around the world.

## GOVERNMENT ENFORCEMENT

Multiple team members are former government trial lawyers with years of experience investigating and prosecuting criminal and civil matters. Our inside knowledge of how government agencies evaluate and litigate cases helps us navigate disputes efficiently and focus on resolving the key issues that will lead to an effective compromise or the best possible outcome at trial.

## INTERNAL INVESTIGATIONS

We have deep experience representing individuals and entities in federal and state administrative investigations, grand jury investigations, corporate investigations and similar matters. We also conduct internal investigations of alleged misconduct and help clients avoid or minimize the impact of a formal inquiry. We handle every aspect of the process, including gathering facts, assessing potential liability and recommending the best course of action, whether it involves handling the matter internally or self-reporting.

In addition, we advise clients on responding to subpoenas, search warrants and court orders related to litigation and investigations, whether our client is a party to the proceeding or a non-party in possession of potential evidence. We excel at designing effective systems for gathering and producing digitally-stored data and physical documents, while also ensuring compliance with destruction holds, E-discovery rules, protective orders, confidentiality agreements, the attorney-client privilege and the work product doctrine.

## REGULATORY COMPLIANCE

We advise clients on every facet of compliance program development, including reviewing current protocols, creating new policies and safeguards, overseeing rollout, training employees and monitoring effectiveness over time. We also conduct regulatory risk analysis for strategic transactions and help clients evaluate self-reporting issues and resolve day-to-day compliance matters.